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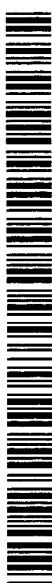
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**WO 01/80778 A1**

(54) Title: OXIDATION-RESISTANT AND WEAR-RESISTANT POLYETHYLENES FOR HUMAN JOINT REPLACEMENTS AND METHODS FOR MAKING THEM

(57) Abstract: The present invention presents methods for making oxidation-resistant and wear-resistant polyethylenes and medical implants made therefrom. Preferably, the implants are components of prosthetic joints, e.g., a bearing component of an artificial hip or knee joint. The resulting oxidation-resistant and wear-resistant polyethylenes and implants are also disclosed.

**Oxidation-Resistant and Wear-Resistant Polyethylenes for  
Human Joint Replacements and Methods for Making Them**

5           This patent application is a continuation-in-part of  
United States provisional patent application serial  
number 60/200,525, filed on April 27, 2000,  
entitled "Oxidation-Resistant and Wear-Resistant  
Polyethylene for Human Joint Replacements".

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**Technical Field Of The Invention**

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The present invention relates to polyethylenes. It discloses methods for enhancing the wear resistance of polyethylenes without inducing oxidative degradation, by crosslinking oxidation-resistant polyethylenes. The polyethylenes disclosed herein are useful for making articles such as medical implants, for example, components of artificial joints such as acetabular cups.

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**Background Of The Invention**

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Ultrahigh molecular weight polyethylene (hereinafter referred to as "UHMWPE") is commonly used to make prosthetic joints such as artificial hip joints. A conventional way of making implants is to machine them out of extruded UHMWPE or block molded UHMWPE. Alternatively, the implants are directly molded from UHMWPE. The implants are then packaged and next sterilized with radiation, gas plasma, or ethylene oxide. The sterilized packaged implants are then sold and the implants are removed from their packages when the surgeons are ready to place them in the patients' bodies.

5        In recent years, it has become increasingly apparent that wear of acetabular cups of UHMWPE in artificial hip joints introduces many microscopic wear particles into the surrounding tissues. The reaction to these particles includes inflammation and deterioration of the tissues, particularly the bone to which the prosthesis is anchored. Eventually, the prosthesis becomes painfully loose and must be replaced.

10       Improving the wear resistance of the UHMWPE socket and, thereby, reducing the rate of production of wear debris would extend the useful life of artificial joints and permit them to be used successfully in younger patients. Consequently, numerous modifications in 15       physical properties of UHMWPE have been proposed to improve its wear resistance.

20       UHMWPE components are also known to undergo a spontaneous, post-fabrication increase in crystallinity and changes in other physical properties. {See e.g., Rimmac, C.M., et al., *J. Bone & Joint Surgery*, 76-A(7):1052-1056 (1994)}. These changes occur even in stored (non-implanted) prostheses after sterilization with gamma radiation ("gamma radiation sterilization") 25       which is typically used for sterilization irradiation. The industrial standard for the gamma sterilization dose is between 2.5 Mrad to 4 Mrad. Typically, 3 to 3.5 Mrad is used.

30       Gamma radiation initiates an ongoing process of chain scission, crosslinking, and oxidation or peroxidation involving the free radicals formed by the irradiation. These degradative changes may be accelerated by oxidative attack from the joint fluid bathing the 35       implant. Oxidation due to post-irradiation aging of UHMWPE has been associated with pitting, delamination and

fracture in total hip replacements. (Walsh, H., et al., "Factors that Determine the Oxidation Resistance of Molded 1900: Is it the Resin or the Molding", Poster Session - Polyethylene, 46th Annual Meeting, Orthopaedic Res. Soc., March 12 - 15, 2000, Orlando, Florida, U.S.A., page 543, first sentence. Hereinafter referred to as "Walsh p. 543, *supra*"). Additionally, many studies have shown that oxidation due to open-air gamma-irradiation sterilization of UHMWPE components is associated with delamination and fracture in artificial knee-joints.

{Mori, A., et al., "Mechanical Behavior of UHMWPE When Mixed with Vitamin E", Nakashima Medical Division, Nakashima Propeller Co., Ltd., hand-out at the 47th Ann. Mtg., Orthopaedic Res. Soc., Feb. 25 - 28, 2001, San Francisco, California, first sentence. Hereinafter referred to as "Mori Hand-Out".} In another publication, Mori et al., indicate that: "Apparently, it is widely recognized through numerous research projects that the largest cause of wear on [UHMWPE], used as the bearing surface in artificial joints, is the oxidative degradation following  $\gamma$ -ray irradiated sterilization in air." (Mori et al., "Effects of Manufacturing Method and Condition on UHMWPE Wear", Society for Biomaterials, Sixth World Biomaterials Congress Transactions, p 1122, year 2000, first sentence. Hereinafter referred to as "Mori p. 1122, *supra*").

Consequently, several companies have modified the method of gamma radiation sterilization to improve the oxidation-resistance and thus the wear resistance of the UHMWPE components. For example, in the case of UHMWPE acetabular cups, this has typically involved packaging the cups either in an inert gas (e.g., Stryker-Osteonics-Howmedica, Inc., Rutherford, New Jersey, USA), in a partial vacuum (e.g., Johnson & Johnson, Inc., New Brunswick, New Jersey, USA) or with an oxygen scavenger

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(e.g., Sulzer, Inc., Winterthur, Switzerland) during gamma radiation sterilization. Alternatively, gas plasma or ethylene oxide are used to sterilize the implant in order to avoid the free radicals generated by irradiation sterilization.

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Further, in recent years, there have been reports that some UHMWPE may be particularly resistant to oxidation. Starting from the 1970's, Zimmer Inc. (Warsaw, Indiana, USA) has been making an UHMWPE commonly known in the industry as "net-shaped molded UHMWPE" (also known as "direct-molded UHMWPE").

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Walsh, H., et al., reported that "components made by directly molding 1900 resin are resistant to post irradiation aging for periods up to 10 years. Further, these directly molded devices have excellent clinical track records and exhibit extremely low incidences of pitting, delamination and fracture", and that "components made by direct molding of 1900 resin have a unique resistance to oxidation. Machined components from extruded 1900 bar or compression molded 1900 sheet do not exhibit the same oxidation resistance. It is also clear from Table 1 that extruded bar and compression molded sheet of 4150 resin are also susceptible to post irradiation aging... Table 2 shows that directly molding either 4150 or 1990 resin provides an oxidation-resistant material. As the resin used in the directly molded 4150 was the same lot as that used in the extruded bars, the only difference between the samples was the manufacturing method." (Left col., first and second sentences, and right col., second and third paragraphs of Walsh p. 543, supra).

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Since the rate of oxidation is slow, and typically takes 5 years of post-irradiation aging (i.e., 5 years of

shelf aging) for density values to rise above 0.95 g/cc, an indicator of oxidation, Walsh et al reported an accelerated aging protocol to mimic 5 years shelf aging of UHMWPE. (Walsh, H. A., et al., "A True, Reproducible Accelerated Aging Protocol To Mimic 5 Year Shelf Aging of UHMWPE", Poster Session - Polyethylene, 46th Ann. Mtg., Orthopaedic Res. Soc., March 12 - 15, 2000, Orlando, Florida, U.S.A., page 542. Hereinafter referred to as "Walsh p. 542, supra").

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Walsh p. 542, supra, reported that specimens directly molded from 4150HP powder to provide a modulus of 600 - 800 MPa did not oxidize, when aged with its accelerated aging protocols, as compared to HSS/PolyHi Solidur/Ticona reference extruded 4150HP, which was machined into specimens.

On the other hand, Mori p. 1122, supra, compared specimens: (1) machined from GUR 4150HP ram extruded bar stock; (2)custom molded directly from GUR1150 resin and using compression pressure of 45 kgf/cm<sup>2</sup>; and (3) custom molded directly from GUR1150 resin and using compression pressure of 200kgf/cm<sup>2</sup>. Mori et al., observed that directly compression molded specimens with 45 kgf/cm<sup>2</sup> compression pressure and ram extruded specimens showed highly-developed oxidation compared to the 200 kgf/cm<sup>2</sup> directly compression molded specimen. Thus, Mori et al., reported that: "It is generally said that direct compression molded UHMWPE provide an unexpected resistance to oxidation. However, the results obtained from this study reveal that granted directly compression molding method was used, unsuitable conditions of the process could cause less resistance to oxidation." (Mori p. 1122, supra, first paragraph under the "Discussion" section).

In another paper, Mori, A., et al., compared two groups of UHMWPE specimens made from: (1) directly molded from UHMWPE powder (GUR1020, Ticona) at 10 MPa, and (2) directly molded from a mixture of GUR1020 powder and Vitamin E, also at 10 MPa. Mori et al., then gamma sterilized in air (at 25 kGy which is equivalent to 2.5 Mrad) and then applied accelerated aging techniques to both groups. Mori et al reported that the addition of Vitamin E to UHMWPE powder provided a unique resistance to oxidation, and preserved the initial mechanical properties. (Mori et al., "Mechanical Behavior of UHMWPE When Mixed with Vitamin E", Poster Session - Polyethylene, 47th Ann. Mtg., Orthopaedic Res. Soc., Feb. 25 - 28, 2001, San Francisco, California, p. 1017. Hereinafter referred to as "Mori p. 1017, *supra*"). N. Tomita, a co-author of Mori p. 1017, *supra*, has previously reported that his gamma-irradiated vitamin-E-containing specimens demonstrated no subsurface crack formation and no flaking-like destruction. {Tomita, N., et al., "Prevention of Fatigue Cracks in Ultrahigh Molecular Weight Polyethylene Joint Components by the Addition of Vitamin E", *J. Biomed Mater Res (Appl Biomater)* 48: 474-478 (1999)}.

Mori et al teach that: "Recently the practice of crosslinking UHMWPE after gamma-ray or electron-beam irradiation has developed to improve resistance to oxidation. However, the process of crosslinking of UHMWPE is complex, and it is difficult to remove the free radicals created by irradiation. It is suggested that the addition of harmless Vitamin E is a very simple and extremely effective method to prevent oxidation degradation and maintain the stability of UHMWPE component in artificial joints." (Mori 1017, *supra*, paragraph bridging left and right columns. See also, Mori hand-out, second and third last sentences).

Summary Of the Invention

5        The present invention presents methods for making and selecting for oxidation-resistant and wear-resistant polyethylenes and medical implants made therefrom. Preferably, the implants are components of prosthetic joints, e.g., a bearing component of an artificial hip, knee, or other joint. The resulting oxidation-resistant and wear-resistant polyethylenes and implants are also 10 disclosed.

Brief Description Of The Drawings

15       FIG. 1 graphically shows the oxidation profiles of slow-cooled and quenched crystallized UHMWPE that were gamma radiation sterilized in air at an average dose of 3.4 Mrad and subsequently stored in air at room temperature for about 6.5 years.

20       Detailed Description Of The Invention

25       The invention described herein draws on previously published work and patent applications. By way of example, such work consists of scientific papers, abstracts, posters, hand-outs, and patents. All of these publications and patent applications, cited previously or below, are hereby incorporated by reference to the same extent as if each independent publication or patent 30 application was specifically and individually indicated to be incorporated by reference.

I. CHOICES OF POLYETHYLENES

35       Abbreviations used in this application are as follows:

PE -- polyethylene  
UHMW -- ultra-high molecular weight  
UHMWPE - ultra-high molecular weight  
polyethylene  
HMW -- high molecular weight  
HMWPE -- high molecular weight polyethylene

10 The present invention relates to polyethylenes in general, and preferably HMWPE and UHMWPE. For ease of discussion, the following discussion uses HMWPE and UHMWPE as examples of a polyethylene and is not intended to limit the invention to merely HMWPE and UHMWPE. This invention also presents finished articles (i.e., end products) made of polyethylenes. Non-limiting examples of these finished articles are medical implants. Again, for ease of discussion, the following discussion uses an acetabular cup as a non-limiting example of a medical implant and is not intended to limit the invention to merely an acetabular cup.

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The preferred polyethylenes are HMW polyethylene (HMWPE) and UHMW polyethylene (UHMWPE). HMWPE have molecular weights ranging from about  $10^5$  grams per mole to just below  $10^6$ . UHMWPE have molecular weights equal to or higher than  $10^6$  grams per mole, preferably from  $10^6$  to about  $10^7$ . The polyethylenes are generally between about 400,000 grams per mole to about 10,000,000.

30 For implants, the preferred polyethylenes are those  
that are wear resistant and have exceptional resistance  
to chemical degradation. UHMWPE is the most preferred  
polyethylene as it is known for these properties and is  
currently widely used to make acetabular cups for total  
35 hip prostheses and components of other joint  
replacements. Examples of UHMWPE are those having

molecular weight ranging from about 1 to  $8 \times 10^6$  grams per mole, examples of which are: GUR 4150 or 4050 (Hoechst-Celanese Corporation, now known as Ticona, League City, Texas) with a weight average molecular weight of 5 to  $6 \times 10^6$  grams per mole; GUR 4130 with a weight average molecular weight of 3 to  $4 \times 10^6$ ; GUR 4120 or 4020 with a weight average molecular weight of 3 to  $4 \times 10^6$ ; RCH 1000 (Hoechst-Celanese Corp.) with a weight average of molecular weight of  $4 \times 10^6$  and HiFax 1900 of 2 to  $4 \times 10^6$  (HiMont, Elkton, Maryland).

Ticona is the world's largest producer of medical grade UHMWPE. Historically, companies which make implants have used polyethylenes such as HIFAX 1900, GUR 4020, GUR 4120 and GUR 4150 for making acetabular cups.

UHMWPE is commercially available in two forms, one with and the other without calcium stearate. Examples of UHMWPE with calcium stearate are GUR 4120, 4130, 4150 and RCH 1000. Examples of UHMWPE without calcium stearate are GUR 1050 (also known as GUR 4050), 1020 (also known as GUR 4020), and HiFax 1900. Both forms of UHMWPE are within the definition of "UHMWPE" as used in this patent application. For the nomenclature and discussion of the different UHMWPE, their nomenclature and manufacturers, See Kurtz, S. M., et al., "Advances in the processing, sterilization, and crosslinking of ultra-high molecular weight polyethylene for total joint arthroplasty", *Biomaterials* 20: 1659 - 1688 (1999) (hereinafter referred to as "Kurtz, et al., *supra*").

Polyethylenes can generally be obtained in any ground, morselized, or pelleted form that can be molded or extruded into a larger solid piece. In this form, the polyethylenes are usually available as "polyethylene

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powder", "polyethylene flake" and "polyethylene resin" which are synonymous terms. For ease of discussion, the following discussion will use the term "polyethylene powder". Thus, one skilled in the art would realize that, if he wishes, he could replace the "polyethylene powder" discussed in this application (including its claims) with any ground, morselized, or pelleted form of polyethylene.

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"Net shaped molding" and "direct molding" are synonymous terms used in the art; so are "net-shaped molded" and "direct-molded". For ease of discussion, this application will use the term "direct molding" and "direct-molded". Some commercially available polyethylene implants are produced by direct molding; that is, by placing the original polyethylene powder into a mold and applying sufficient heat and pressure to fuse the powder into the final shape of the implant or almost the final shape of the implant such that only minimal finish-machining is needed to make the implant.

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In contrast, instead of direct molding, the majority of UHMWPE components used in artificial joints over the past three decades were either machined from ram-extruded bar stock, or were machined from molded blocks. Thus, the term "preformed polyethylene", such as "preformed UHMWPE", is used herein to mean UHMWPE which are used as the starting materials from which implants are machined. Such preformed UHMWPE are consolidated by ram extrusion (also known as "ram extruded UHMWPE"); and compression molding which is also known as block molding of UHMWPE powder into bar, rods, sheets, blocks, slabs or the like ("block molded UHMWPE"). Thus, non-limiting examples of such preformed UHMWPE are: extruded UHMWPE bar, compression molded UHMWPE block and sheets.

The term "reference preformed UHMWPE" means conventional UHMWPE extruded bars and conventional UHMWPE compression molded block.

5                   The term "oxidation-resistant" as applied to polyethylenes (which include HMWPE and UHMWPE, as in "oxidation-resistant polyethylene", "oxidation-resistant HMWPE", and "oxidation-resistant UHMWPE") means a polyethylene that is more resistant to oxidation, for  
10                   example, as caused by the free radicals that are induced during irradiation with a gamma source or an electron beam, than reference preformed UHMWPE. The implant made from oxidation-resistant UHMWPE is also referred to as an "oxidation-resistant implant".

15                   Applicants' review of the current art show that the developers of oxidation-resistant polyethylenes (discussed in "Background of the Invention", above) have advocated their use in artificial joints primarily on the grounds that their resistance to oxidation results in less degradation of their mechanical properties and/or wear resistance when they are gamma irradiation sterilized in the range of 2.5 to 4 Mrads that is standard in the industry. However, applicants realize that this method of manufacture does not improve the wear resistance over that of typical gamma-sterilized implants of the past, which is unacceptably high in many patients, particularly the younger, more active patients who subject their joints to a high number of wear cycles per  
20                   year. Some advocates of oxidation-resistant UHMWPE have criticized the use of elevated levels of radiation crosslinking to improve wear resistance. For example, Mori et al stated that: "Recently the practice of crosslinking UHMWPE after gamma-ray or electron-beam  
25                   irradiation has developed to improve resistance to oxidation. However, the process of crosslinking of

UHMWPE is complex, and it is difficult to remove the free radicals created by irradiation. It is suggested that the addition of harmless Vitamin E is a very simple and extremely effective method to prevent oxidation degradation and maintain the stability of UHMWPE component in artificial joints." (Mori 1017, *supra*, paragraph bridging left and right columns. See also, Mori hand-out, second and third last sentences).

On the other hand, crosslinking of a polyethylene is known to improve the wear resistance in industrial implants. Applicants tested the following: (1) chemically crosslinked acetabular cups produced by mixing a peroxide with UHMWPE powder and then molding the cups directly to shape; (2) gamma radiation-crosslinked acetabular cups produced by exposing conventional extruded UHMWPE bar stock to gamma radiation at various doses from 3.3 to 100 Mrad, remelting the bars to extinguish residual free radicals (i.e., to minimize long-term oxidation), and then machining the cups by conventional techniques. In hip-joint simulator tests lasting as long as 5 million cycles, both types of crosslinked cups exhibited dramatically improved resistance to wear. McKellop, H., et al., "Development of An Extremely Wear-Resistant Ultra High Molecular Weight Polyethylene for Total Hip Replacements, *J. Ortho. Res. Soc.* 17: 157 - 167(1999). For a review of conventional and industrial methods by which gamma or electron beam are used to irradiate conventional extruded UHMWPE bar stock to increase its crosslinking and thus wear resistance, and then remelt or anneal the bar stock to extinguish residual free radicals (i.e., to minimize long-term oxidation), and subsequently machining implants from the bar stock, and various studies using implant simulator, see, e.g., H. A. McKellop, "Bearing Surfaces in Total Hip Replacements: State of the Art and Future

5 Developments", *AAOS Instructional Course Lectures*, 50: 165- 179 (2001); and Kurtz et al., *supra*; and McKellop, H., et al., "Development of An Extremely Wear-Resistant Ultra High Molecular Weight Polyethylene for Total Hip Replacements, *J. Ortho. Res. Soc.* 17: 157 - 167(1999).

10 In the present invention, applicants recognize that, if one begins with an oxidation-resistant polyethylene and increases its wear resistance by increasing its level of crosslinking above that generated by the dose of radiation typically used to conventionally sterilize an implant (that is, above the range of 2.5 to 4 Mrads), then it is possible to produce an implant that has the desired high resistance to oxidation in combination with an improved wear resistance, making the implant particularly suitable for use in younger and more active patients than has been advisable in the past. Thus the present invention provides for a wear-resistant and oxidation-resistant implant by fabricating the implant 15 from an oxidation-resistant polyethylene, including either shaping (such as machining) it from a preformed polyethylene, or by direct molding; packaging said implant, preferably in a low-oxygen atmosphere, and subjecting the packaged implant to a radiation dose above the range used for conventional sterilization in order to increase the level of crosslinking and, thereby, improve the wear resistance above that obtained with conventional radiation sterilization. Thus, the present invention 20 provides for a way to produce oxidation-resistant implants, orthopaedic materials comprising polyethylenes, and polyethylenes that are more wear resistant than the conventional polyethylenes disclosed in the conventional arts, such as those described by the authors Mori et al., Tomita et al., and Walsh et al., *supra*.

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The method of the present invention is also easier

and cheaper to carry out than the conventional methods:

In the present invention, since the polyethylene has been formed in a manner that renders it highly resistant to oxidation, despite the presence of free radicals, there is no need to melt the polyethylene during or after radiation crosslinking (e.g., by annealing or remelting) in order to extinguish the residual free radicals, and thus simplifying the manufacturing process. In addition, in the present invention, it is possible to use direct molded polyethylene components such as implants, which is not possible if remelting or annealing of the UHMWPE are required, since this may cause excessive distortion of an implant. In addition, since the oxidation-resistant polyethylene remains oxidation-resistant despite the presence of free radicals, the present invention also has the advantage of allowing for the use of gamma or electron beam to sterilize the component, rather than gas plasma or ethylene oxide that are currently used to avoid the free radicals generated by irradiation sterilization. The ability to use irradiation sterilization is an added advantage since gas plasma or ethylene oxide may not be appropriate for some modular types of implants because of the low penetration of gas plasma or ethylene oxide into the interfaces between the components of the implant.

Another advantage of the invention is that the irradiation crosslinking of the finished implant to improve its wear resistance and the irradiation sterilization may be done simultaneously in a single step. Thus, the methods of the present invention are simpler and more cost-efficient than the conventional methods.

The following describes the invention in more detail:

III. OXIDATION-RESISTANT POLYETHYLENESA. METHOD A

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Applicants note that there are publications claiming that direct molding of UHMWPE produces a finished article of greater resistance to oxidation than a finished article machined from an extruded bar or molded block. 10 The improvement is due to a more uniform pressure and temperature applied to the finished article during direct molding.

15 This method is exemplified by the commercially available oxidation-resistant direct molded implant (commercially available from Zimmer, Inc., discussed in the "Background of the Invention", above).

20 However, Mori p. 1122 has noted that direct molded (using 45 kgf/cm<sup>2</sup> pressure) specimens were less oxidation resistant than specimens machined from conventional ram extruded bar stock. On the other hand, direct molded (using 200 kgf/cm<sup>2</sup> pressure) specimens were more 25 oxidation resistant than specimens machined from conventional ram extruded bar stock. Thus, Mori et al., reported that: "It is generally said that direct compression molded UHMWPE provide an unexpected resistance to oxidation. However, the results obtained from this study reveal that granted directly compression molding method was used, unsuitable conditions of the 30 process could cause less resistance to oxidation." (Mori p. 1122, *supra*, under the "Discussion" section).

35 In view of the concern expressed above in Mori p. 1122, for the present invention, Method A preferably has the added modification(s) of Method B, below, in order to

provide the suitable conditions for producing oxidation-resistant implants. Applicants also note that due to the concern of Mori p. 1122, one skilled in the art should apply the routine testing procedures described in the section "Testing and Selection Procedures", below, to ensure that he obtains his desired oxidation-resistant and wear-resistant polyethylene and implant.

## 2. METHOD B

This Method B has four aspects to it, each of which represents a modification. In Method B, each of the aspects can be applied alone, or in combination with one or more of the other aspects, to polyethylene processed by extrusion, by block molding, or by direct molding in order to make an oxidation-resistant polyethylene or implant. Thus, Method B could be applied to Method A and/or Method C.

### Method B: Aspect 1

Applicants realize that adding one or more antioxidants (for economical and efficiency sake, one antioxidant is preferred), such as those known in the chemical, polymer, and biological arts, would render the polyethylene oxidation resistant. Non-limiting examples of conventional antioxidants which may be used are vitamin A, vitamin C and vitamin E; and common antioxidants used to prevent or inhibit the oxidation of polymer, such as, members of the classes of phenols, aromatic amines, and salts and condensation products of amines and aminophenols with aldehydes, ketones, and thio compounds. Non-limiting examples of the members of the foregoing classes are paraphenylene diamines and diarylamines.

An example of the application of this aspect is found in Mori et al and Tomita et al who used Vitamin E to improve the oxidation resistance of their UHMWPE. (Mori Hand-Out, Mori p. 1122, Mori p. 1017, and Tomita et al., J. Biomed Mater Res, supra.)

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Method B: Aspect 2

This aspect seeks to reduce or remove the amount of oxygen present in the polyethylene after it is fused (whether by extrusion, block molding, or direct molding, into, e.g., a solid bar, block, rod sheet or direct molded implant) in order to reduce the amount of oxidation that occurs during irradiation and thereafter. This can be done, for example, by placing the powder in a suitable container and causing an inert gas to flow through the powder in order to flush out the air (which contains oxygen) that is mixed with the powder. In addition, whether or not the powder has been flushed with inert gas, the container can be pressurized with an inert gas and maintained under pressure in order to force the inert gas into the powder, thereby displacing the air (which contains oxygen) from the powder. In addition, whether or not the powder has been flushed and/or pressurized with inert gas, the container can be evacuated and maintained under vacuum in order to draw out oxygen that is mixed with the powder and/or absorbed into the powder. In addition, whether or not one or more of the previous steps have been taken, the fusing of the powder (whether by extrusion, block molding or direct molding) can be done in a low oxygen atmosphere, such as an atmosphere of inert gas, to minimize mixing of air (which contains oxygen) with the polyethylene during the fusing process. These procedures are not generally exclusive and are additive and will reduce oxygen present in the polyethylene after it is fused such that the

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polyethylene implant will be more resistant to oxidation during irradiation, and during storage prior to use, and during use *in vivo*. Alternatively, the resulting polyethylene or implant may be stored with an oxygen absorbent or in any one of conventional low-oxygen conditions, instead of storing in vacuum or inert gas.

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An example of the application of this aspect is found in Sun et al., U.S. Pat. 5,414,049, supra which discloses: "A medical implant made of polymeric material having an increased oxidation resistance is formed by a method including the steps of placing a resin powder in a sealed container. A substantial portion of the oxygen is removed from the sealed container by either a vacuum, an oxygen absorbent or by flushing with inert gas. The container is then repressurized with a gas such as nitrogen, argon, helium or neon so that long term storage may be possible. On use, the resin is transferred to a forming device which both melts and forms the resin in an oxygen reduced atmosphere to produce a polymeric raw material such as a rod or bar stock. The medical implant is then formed from this raw material annealed and sealed in an airtight package in an oxygen reduced atmosphere." (In the "Abstract" section of Sun et al., U.S. Pat. 5,414,049).

Method B: Aspect 3

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This aspect fuses the polyethylene powder at a higher pressure, a higher temperature, and/or for a longer period of time than is conventionally used in order to achieve better fusion of the polyethylene powder, since it has been shown that diffusion of oxygen into the polyethylene occurs preferentially along the boundaries between the poorly or incompletely fused polyethylene powder or flakes. See, e.g., Mori p.1122,

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supra. In this aspect of Method B, the pressure is preferably about 10 MPa or higher, and more preferably about 14 MPa or higher; the temperature is preferably about 175°C or higher, and more preferably about 200°C or higher; the time is preferably about 30 minutes or longer, and more preferably about 1 hour or longer.

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An example of the application of this aspect is found in Mori p. 1122, supra, which showed that specimens custom molded directly from GUR1150 resin under elevated compression pressure of 200 kgf/cm<sup>2</sup> had improved oxidation index compared to those produced under compression pressure of 45 kgf/cm<sup>2</sup>. Applicants note that 45 kgf/cm<sup>2</sup> (about 4.4 MPa) is at the low end of the range for conventional molding pressure, and more importantly, 200 kgf/cm<sup>2</sup> (about 19.6 MPa) is higher than the conventional molding pressure.

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The use of elevated temperature, elevated pressure, and a longer period for fusing the polyethylene is also found in England et al's U.S. Pats. 5,466,530 and 5,505,984, discussed in further detail in Method C, below.

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#### Method B: Aspect 4

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When a polyethylene is irradiated, the irradiation generates free radicals. The free radicals that are present in the amorphous regions immediately crosslink or oxidize. Thus, if the irradiation is conducted in low oxygen atmosphere, the free radicals in the amorphous regions would tend to immediately crosslink instead of oxidize due to the lack of oxygen. The free radicals that have not crosslinked or oxidized after irradiation are primarily trapped in the crystalline regions of the polyethylene. Over time, these residual free radicals

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migrate to the amorphous regions. If the implant is removed from a low oxygen atmosphere after irradiation, these residual radicals can encounter oxygen molecules that have diffused into the amorphous regions, and oxidation can occur. Therefore, if there is a lower percentage of crystalline polyethylene, then there will be proportionately fewer free radicals and, therefore, less long-term oxidation.

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Thus, the present aspect of Method B seeks to reduce the percentage of crystalline polyethylene which in turn reduces the residual free radicals in the polyethylene after irradiation, and which in turn reduces long-term oxidation. A non-limiting way to reduce the crystallinity of a polyethylene is to quench (i.e., rapidly cool) a polyethylene after it has been heated and fused, which results in a lower crystallinity in the quenched polyethylene.

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An example of the application of this aspect is found in Example 1, below, wherein the molten UHMWPE specimen that had been molded at 170°C and a ram pressure of 7.5 MPa for two hours was removed from the press and very rapidly cooled ("quenched") in a liquid nitrogen pool.

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Another example of the application of this aspect is found in Walsh p. 543, *supra*, which reported its directly molded UHMWPE samples were more oxidation resistant than samples machined from extruded bar or compression molded sheet. The direct molding of the UHMWPE samples was conducted at 8.1 MPa, 165°C and a cooling rate of greater than 175°C per minute ("quenching"). Walsh p. 542, *supra*, also reported that specimens directly molded from 4150HP UHMWPE powder to provide a modulus of 600 - 800 MPa, was oxidation resistant.

Burstein and Li, U.S. Pat. No. 5,721,334, "Process for Producing Ultra-High Molecular Weight Low Modulus Polyethylene Shaped Articles Via Controlled Pressure and Temperature and Compositions and Articles Produced Therefrom", was issued February 24, 1998. The patent's co-inventor (Stephen Li) also co-authored Walsh p. 542 and 543, *supra*. This patent noted that the shaped UHMWPE articles made from its method are useful as the bearing surface of total knee joints, prosthetic hip joint cups, and as other prosthetic shapes for replacement of other joints of the human body. Significantly, the patent does not disclose that its methods produce oxidation-resistant UHMWPE. However, the patent discloses a five-step direct molding method which contains a quenching step 5. The method is described as follows: "1. Filling a mold with powdered UHMWPE; 2. Covering the mold with a piston; 3. Raising the temperature of the mold ... while simultaneously applying a pressure ... to the mold and its contents; 4. Maintaining the pressure and temperature ...; 5. Dropping the temperature of the molded part, either completely or in part at a rate between about 4.degree. and about 175 degree.C./minute, either while maintaining the mold at the molding pressure, at pressure less than the molding pressure, or under no external applied pressure. Dropping the temperature of the molded part may be accomplished either by cooling the part directly by contact with a suitable cooling fluid such as water or air or by cooling the mold." (U.S. Pat. 5,721,334, *supra*, "Summary of the Invention", second para.). Applicants note that the quenching rate in step 5 of the patent (of about 175 degree.C./minute) overlaps that of Walsh p. 543, *supra* (greater than 175°C per minute); and the modulus of the patented UHMWPE shaped article (elastic modulus of about 500 Mpa to about 800 Mpa) overlaps that of Walsh p. 542, *supra* (modulus of 600

- 800 Mpa). In view of the foregoing, applicants believe that the patent's method could be used to produce oxidation-resistant UHMWPE implants. The details and preferred ranges for the parameters for carrying out the method are found in the patent to enable one skilled in the art to produce the UHMWPE implants. One skilled in the art could apply the routine testing procedures described in the section "Testing and Selection Procedures", below, to test the UHMWPE implants produced under the patented method to determine whether they are oxidation-resistant.

### 3. METHOD C

Applicants also recognize that if polyethylene powder is molded into a smaller size than the conventional size of UHMWPE blocks, the small molded polyethylene will have improved oxidation resistance due to a more uniform pressure and temperature applied to the finished article during direct molding. The small molded polyethylene is preferably about 4-inch in diameter or smaller, and more preferably from about 0.5-inch to about 4-inch in diameter.

An example of the application of Method C is found in England, et. al.'s U.S. Patent Nos. 5,466,530, "Biocompatible Components Fabricated from A Substantially Consolidated Stock of Material" (issued November 14, 1995) and 5,505,984, "Method for Forming Biocompatible Components Using An Isostatic Press" (issued April 9, 1996). Even though the patentees do not recognize that their methods for producing wear-resistant UHMWPE biocompatible component also produce oxidation-resistant component, applicants believe that their methods can produce oxidation-resistant components. Thus, the patents' method can be applied to produce implants and

the implants can be tested according to the method disclosed in the section "Testing And Selection Procedures", below, to select for the oxidation-resistant implants. Applicants' belief is based on the fact that:

5 (1) the patents' method meets the criteria of Method C, herein, in that their molded UHMWPE were in smaller sizes, e.g., of 1.5-inch diameter to 4-inch diameter, than the conventional size of UHMWPE molded blocks; and

10 (2) their method (during its hot isostatic step) uses the elevated pressure, temperature and a longer period for fusing the UHMWPE than are favored in applicants' Method B, Aspect 3, above.

15 The England et al's method includes enclosing UHMWPE powder in a first container and subjecting the first container to a cold isostatic pressure treatment which forms an incompletely consolidated stock from the powder. The incompletely consolidated stock is removed from the first container and is placed in a second container which is then located within a hot isostatic press and is

20 subjected to a hot isostatic pressure treatment. The hot isostatic press treatment forms the relatively completely consolidated stock from the incompletely consolidated stock. The relatively completely consolidated stock is

25 then machined into an implant. With regard to the size of the consolidated stock, the patent states that: "For example, smaller diameters of the completely consolidated stock (e.g., 1 1/2 inches) typically require less time to become fully compacted, while larger diameters of

30 completely consolidated stock, such as 4 inches, typically require more time to become fully cured." (U.S. Pat. 5,466,530, under "Detailed Description of the Preferred Embodiment", paragraph 26). The hot isostatic press operates from about 365.degree.F. to about

35 420.degree.F. When the hot isostatic press reaches approximately 365.degree.C., the pressure is also

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increased over a 1 to 2 hour period to a pressure preferably between about 7,500 to about 10,000 psi (i.e., between about 52 to about 69 MPa). Further details of the method and the preferred ranges of parameters are to be found in the patents.

#### IV. IRRADIATION STEPS

10 The oxidation-resistant polyethylene implant may be irradiated using conventional methods known in the art, but modified to apply the radiation dose disclosed in the present invention. Non-limiting examples of conventional irradiation methods are found in the references cited in this application. For a review of industrial and  
15 conventional irradiation methods as applied to polyethylene implants, see also, H. A. McKellop, *AAOS Instructional Course Lectures*, 50: 165- 179 (2001), *supra*; and Kurtz et al., *supra*.

20 In order to minimize oxidation of the surface layer of the implant during and after irradiation, the implant is preferably packaged in a low-oxygen atmosphere, for example, under a vacuum; in an inert gas such as nitrogen, helium, or argon; or with an oxygen scavenger.  
25 Known methods for such low-oxygen atmosphere packaging may be used, such as those used by the companies as described in "Background of the Invention", above.

30 The irradiation for crosslinking and irradiation for sterilization steps may be performed separately (whether one precedes the other). However, for efficiency sake, preferably the packaged implant is exposed to a radiation source which simultaneously sterilizes and crosslinks the implant to improve its wear resistance. Conventional  
35 radiation may be used, non-limiting examples of which are gamma radiation and electron beam radiation. The

5 preferred radiation is by gamma radiation. As discussed above, conventional irradiation methods may be used, but modified to apply the radiation dose disclosed in the present invention. It has been reported that comparable amounts of crosslinking of a polyethylenes, induced by gamma radiation or electron beam radiation, produced comparably low wear rates (For a review of the reports, see, e.g., H. A. McKellop, "Bearing Surfaces in Total 10 Hip Replacements: State of the Art and Future Developments", *AAOS Instructional Course Lectures*, 50: 165- 179 (2001); and Kurtz et al., *supra*).

15 Since the wear resistance of a polyethylene is known to be improved with increasing levels of radiation-induced crosslinking, the radiation dose may be higher than the range of 2.5 to 4 Mrads that is typically used simply to sterilize a polyethylene component.

20 The irradiation dose is preferably from about 5 to about 100 Mrad. In the case of UHMWPE, the radiation dose is more preferably from about 5 to about 25 Mrad, and most preferably from about 5 to about 10 Mrad. This most preferable range is based on achieving a reasonable balance between improved wear resistance and minimal 25 degradation of other important physical properties. These ranges may be used as starting ranges for other polyethylenes, as discussed further in the section "V. TESTING AND SELECTION PROCEDURES", below.

30 On the other hand, if a user is primarily concerned with reducing wear, and other physical properties are of secondary concern, then a higher dose than the above stipulated most preferable range (e.g., 5 to 10 Mrad) may be appropriate. If the user is concerned with the 35 physical properties and balancing them with wear resistance, he may modify the radiation dose according to

the further teaching below. The optimum radiation dose is preferably based on the dose received at the level of the bearing surface in the final product.

5 Instead of using the above range of radiation dose as a criterion, the appropriate amount of crosslinking may be determined based on the degree of swelling, gel content, or molecular weight between crosslinks after irradiation. This alternative is based on the applicant's  
10 findings in WO 98/01085, "Crosslinking of Polyethylene for Low Wear Using Radiation and Thermal Treatments", of the Orthopaedic Hospital et al., published January 15, 1998, and McKellop, H., et al., *J. Ortho. Res. Soc.* 17: 157 - 167(1999), *supra*, that acetabular cups made from  
15 UHMWPE falling within a preferred range of these physical parameters have reduced or non-detectable wear. The ranges of these physical parameters preferably include one or more of the following: a degree of swelling of between about 1.7 to about 3.6; molecular weight between crosslinks of between about 400 to about 3,500 g/mol; and a gel content of between about 95% to about 99%. A  
20 preferred polyethylene or final product has one or more, and preferably all, of the above characteristics.

25 These parameters can also be used as starting points for applying the method (but absent the annealing or remelting of WO 98/01085, *supra*) described in the second aspect of the invention in WO 98/01085 for  
30 determining the desired radiation dose to balance the improvement in wear resistance with other desired physical or chemical properties, such as polyethylene strength or stiffness.

35 The above considerations are further discussed in the following section.

V. TESTING AND SELECTION PROCEDURES

5           Applicants recognize that different users, such as manufacturers, of polyethylene implants may have different criteria regarding the acceptable combination of oxidation resistance, wear resistance, and other important mechanical properties (such as fatigue resistance), and these criteria may differ for different types of implants for a given user or manufacturer.

10           Nevertheless, from the discussion herein, one skilled in the art applying the present invention will know how to use established techniques for measuring oxidation resistance, wear resistance and other mechanical properties to determine whether these meet his individual criteria.

15           That is, one skilled in the art may use the methods disclosed in the present invention to make a candidate polyethylene, or he may choose a member of the polyethylene class as a candidate, and apply the following routine tests to select for an improved oxidation resistance and wear resistance polyethylene and an implant made therefrom of the present invention which meet his desired characteristics.

20           25           The following presents a non-limiting example of the routine selection steps he may use. He may choose a member of the polyethylene class as a candidate ("unmodified polyethylene") or, if he wishes to modify the polyethylene ("modified polyethylene"), he may choose one or more of the steps described above (in Methods A, B, and C, discussed above) for increasing the oxidation resistance of the polyethylene. These steps are, for the most part, non-exclusive and additive in their ability to improve the oxidation resistance of polyethylene. The polyethylene (whether modified or unmodified, as he

desires) may be routinely tested for its oxidation-resistance according to methods known in the art. For example, by irradiating the polyethylene to the desired level of crosslinking and then subjecting it to shelf aging, as described in Example 1, below, or to accelerated aging by exposure to elevated temperature in air (or, if desired, pressurized oxygen) for an extended period of time and then measuring the resultant level of oxidation. Suitable methods for accelerated aging are known in the art and are described in, for example, Sanford, W.M. et al., "Accelerated oxidative aging testing of UHMWPE", *Trans. 41st Ann. Mtg. Orthopaedic Res. Soc.*, p. 119 (1995); Sun. D.C., et al., "A simple accelerated aging method for simulations of long-term oxidative effects in UHMWPE implants", *42nd Ann. Mtg., Orthopaedic Res. Soc.*, p. 493 (1996); and Walsh p. 542, supra.

The resultant amount of oxidation in the polyethylene may be measured, for example, by cutting the polyethylene specimen into segments and then measuring the level of oxidation at and below the surface using Fourier-transform infrared spectroscopy, as described, for example, by Shen, F.W. et al., "Potential Errors In FTIR Measurement of Oxidation in Ultrahigh Molecular Weight Polyethylene Implants", *J. Biomedical Materials Research, J. Applied Biomaterials*, 48 (93), 203-210 (1999) or by measuring the density as a function of depth into the sample, as described by Walsh p. 542 and 543, supra. This is advisable in view of the observation in Mori 1122, supra, that some directly molded polyethylene may not be oxidation-resistant.

As discussed above, the wear resistance of UHMWPE increases with increased crosslinking {see, e.g., McKellop, H., et al., *J. Ortho. Res. Soc.* 17: 157 -

167(1999), *supra*}. As discussed above, for the present invention, the amount of crosslinking radiation for UHMWPE may be from about 5 to about 100 Mrads, preferably from about 5 to about 25 Mrads, and most preferably from about 5 to about 10 Mrads. Thus, one skilled in the art may use these ranges as a starting point for his irradiation step for UHMWPE. The quantitative relationship between the level of crosslinking and the wear resistance may be different for polyethylenes other than UHMWPE, and can be determined by exposing the candidate polyethylene to a range of radiation doses, preferably between about 5 to about 100 Mrads, and performing suitable wear tests on each to develop a dose-wear relationship.

Conventional wear tests such as sand slurry, pin-on-disk, and implant simulator tests may be used to test the wear resistance of the polyethylene specimens. Preferably, implants are directly molded from the polyethylene or machined from it, and the wear resistance as a function of level of crosslinking may be determined by subjecting the implants to laboratory wear simulation in a suitable simulator for the particular implant to be tested. For example, the many known conventional simulators may be used. For example, the bearing surface of an implant for a hip joint, such as the medical acetabular cup implant may be tested in the hip simulators described in McKellop, H., et al., *J. Ortho. Res. Soc.* 17: 157 - 167 (1999), *supra*. Similarly, a knee simulator is described in DesJardins, J. B., et al., "The Use Of A Force-Control Dynamic Knee Simulator To Quantify The Mechanical Performance Of A Total Knee Replacement Design, During Functional Activity" *J. Biomechanic* 33(10): 1231 -1242 (2000). For a review of wear and oxidation research involving simulators, see e.g., Kurtz et al., *supra*.

5 In addition, since crosslinking may reduce other mechanical properties, such as fracture toughness, dose-response relationships may be developed for these properties as well by subjecting specimens that have been crosslinked to various levels to appropriate established tests of the specific mechanical properties, which may be found, for example, in the published standards of the American Society for Testing and Materials (ASTM).

10 As noted above, the criteria for an optimum balance among oxidation resistance, wear resistance and other mechanical properties may vary among different users and manufacturers, and among types of implants, such as hip joints compared to knee joints, for a given manufacturer or user. Nevertheless, the optimum values of the preparation parameters for fabricating a polyethylene with adequate amounts of oxidation resistance, wear resistance and other mechanical properties may be 15 routinely determined as described above, and the number of specimens and tests required may be minimized by the application of established design-of-experiments (DOE) 20 statistical techniques.

25 Having described the invention, the following examples are presented to illustrate and support the invention, and are not to be construed as limiting the scope of the invention.

30 EXAMPLE 1

Oxidation of Gamma-irradiated Ultra-high Molecular Weight Polyethylene

35 Method and Materials

Original powder of medical-grade UHMWPE, GUR 415 (Hoechst, Texas, USA), with a weight-average molecular weight of approximately  $6 \times 10^6$ , was used as received. Specimens were compression molded according to the following procedures. The original powder of UHMWPE was placed in a 1 mm thick circular mold (5 centimeter inside diameter) between two stainless steel plates that were covered with aluminum foil. The press was pre-heated to 170°C and then the UHMWPE was compression molded for two hours at 170°C and a ram pressure of 7.5 MPa applied on the mold and polyethylene. After two hours, the pressure was increased to 15 MPa and the specimen was slowly cooled in the press to room temperature.

Alternatively, for the quench-crystallized UHMWPE, the molten specimen that had been molded at 170°C and a ram pressure of 7.5 MPa for two hours was removed from the press and very rapidly cooled ("quenched") in a liquid nitrogen pool. The specimens were gamma sterilized in air at room temperature to an average dose of about 3.4 Mrad.

The properties of UHMWPE were analyzed before and immediately after gamma sterilization. One set of gamma-sterilized specimens was stored on the shelf in ambient air for about 6.5 years.

Thermal properties of UHMWPE with or without gamma sterilization were analyzed using differential scanning calorimetry. Samples weighing about 6 - 9 mg were heated from 50°C at 10°C/min in a differential scanning calorimeter (Perkin-Elmer DSC-4) to 170°C. The melting temperature was identified from the peak of the melting endotherm. Indium was used for calibration of the temperature and heat of fusion. The degree of crystallinity was calculated as the heat of fusion of

UHMWPE sample divided by that of an ideal polyethylene crystal (292 J/g).

5        The degree of crosslinking of the irradiated UHMWPE was assessed from the swell ratios of the materials. A sheet, approximately 1 mm thick and weighing about 0.4 grams, was cut out of the irradiated specimens, and the sol-fraction was extracted by boiling in p-xylene for 72 hours, with 0.5 weight percent of antioxidant (2,6-di-t-butyl-4-methyl phenol) added to prevent oxidation. After 10 extraction, the gel was transferred to fresh p-xylene and allowed to equilibrate at 120°C for 2 hours. The swollen gel was then quickly transferred to a weighing bottle, covered, and weighed. The data was obtained as the 15 average of five measurements. Samples were then soaked in acetone and dried at 60°C in a vacuum oven to constant weight. The swell ratio was calculated as weight of swollen gel divided by that of dried extracted gel, and the gel content was determined from the ratio of the 20 weight of the dried extracted to the initial nonextracted sample. The swell ratio was used to calculate the network chain density, number-average molecular weight between crosslinks and crosslink density, according to the theory of Flory and Rehner {Shen et al., *J. Polym. Sci., Polym. Phys.*, 34:1063-1077 (1996)}.

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30        The oxidation of the shelf-aged gamma sterilized UHMWPE was assessed by Fourier transform infrared spectroscopy (FTIR). Segments of the shelf-aged UHMWPE were embedded in poly(methyl methacrylate) (PMMA) and microtomed into 200-micron thick slices. Oxidation measurements were taken using a transmission FTIR (Mattson Genesis II, Wisconsin, USA) mounted with a 35 microscope (Spectra-Tech IR Plan, Spectra-Tech, Inc., Connecticut, USA). Measurements were taken using a 0.1 x 0.1 millimeter window, starting at the surface and moving

across the thickness in 100-micron steps, using 64 scans summation at a resolution of 16  $\text{cm}^{-1}$ . Oxidation was calculated as the height of the carbonyl absorption at 1717  $\text{cm}^{-1}$  divided by the height of the reference peak at 5 2022  $\text{cm}^{-1}$ .

Result and Discussion

10 The thermal properties, swell ratio and gel content are shown in Table 1.

TABLE 1

Type of UHMWPE	Peak Melting Point (°C)	Cystallinity (%)		Swell Ratio	M.W. Between Crosslinks (g/mol)	With Irrad.	With Irrad.	Gel Content (%)
		Without Irrad.	With Irrad.					
Slow-cooled	132.6	135	49.2	55.8	8.4	21,753	70.8	
Quenched	129.3	130	44.2	49.8	6.0	10,952	76.7	

"Irrad." denotes irradiation

"M.W." denotes number-average molecular weight

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The oxidation profiles of the shelf-aged gamma sterilized UHMWPE are shown in Figure 1. As shown in Figure 1, the quenched UHMWPE exhibited an improved oxidation resistance, with a reduction of about 57% in the peak oxidation, as compared to the slow-cooled UHMWPE.

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Although the foregoing invention has been described in some detail by way of illustration and example for purposes of clarity and understanding, it will be obvious that various modifications and changes which are within the skill of those skilled in the art are considered to fall within the scope of the appended claims. Future technological advancements which allow for obvious changes in the basic invention herein are also within the claims.

We claim:

1. A method to improve the wear resistance of an implant made of a polyethylene, said method comprising the steps of:

5 a) providing an oxidation-resistant implant;  
b) irradiating the oxidation-resistant implant at a radiation dose above standard sterilization dose but below about 100 Mrad in order to crosslink the implant to improve its wear resistance,

10 wherein said oxidation-resistant implant is more resistant to oxidation than an implant machined from a reference preformed UHMWPE.

15 2. The method of claim 1, wherein the polyethylene is selected from the group consisting of: UHMWPE and HMWPE.

20 3. The method of claim 2, wherein the irradiation step also sterilizes the implant.

25 4. The method of claim 3, wherein the irradiation is by gamma irradiation.

5. The method of claim 3, wherein the radiation dose is from about 5 Mrad to about 100 Mrad.

25 6. The method of claim 5, wherein the radiation dose is from about 5 Mrad to about 25 Mrad.

30 7. The method of claim 6, wherein the radiation dose is from about 5 to about 10 Mrad.

35 8. The method of claim 3, wherein the implant is not melted or annealed after the irradiation step.

9. The method of claim 3, wherein the irradiation is

conducted in a low oxygen condition.

10. The method of claim 2, wherein the oxidation-resistant implant is formed by directly molding the  
5 oxidation-resistant implant from polyethylene powder.

11. The method of claim 2, further comprising the step  
of: forming the oxidation-resistant implant from the  
oxidation-resistant polyethylene.

10 12. The method of claim 11, further comprising the step  
of modifying a polyethylene, made from fusion of  
polyethylene powder, to make oxidation-resistant  
polyethylene, and machining or directly molding the  
15 oxidation-resistant implant from the oxidation-resistant  
polyethylene, said modification comprises one or more of  
the steps selected from the group consisting of:

20 (a) mixing an anti-oxidant with the polyethylene  
powder and fusing the polyethylene powder to  
form an oxidation-resistant polyethylene or  
implant;

25 (b) fusing the polyethylene powder at a pressure of  
about 10 Mpa or above, a temperature of about  
175°C or above, and/or for a period of time of  
about 30 minutes or longer, to produce an  
oxidation-resistant polyethylene or implant;

30 (c) fusing the polyethylene powder and rapidly  
cooling the fused polyethylene to form an  
oxidation-resistant polyethylene or implant;

(d) fusing the polyethylene powder in a low oxygen  
environment; and

35 (e) placing a polyethylene powder in a container,  
and performing one of the following: (i)  
causing an inert gas to flow through the  
polyethylene powder to flush out the air that

is mixed with the polyethylene powder; (ii) causing an inert gas to flow through the polyethylene powder to flush out the air that is mixed with the polyethylene powder, after the air has been flushed out then pressurizing the container with an inert gas for a sufficient time to force the inert gas into the polyethylene powder and displacing the air from the polyethylene powder; (iii) pressurizing the container with an inert gas for a sufficient time to force the inert gas into the polyethylene polyethylene powder and displacing the air from the polyethylene powder; (iv) following any one of steps (i), (ii), and (iii), with evacuating the container for a time sufficient to draw out oxygen that is mixed with or absorbed into the polyethylene powder; (v) evacuating the container for a time sufficient to draw out oxygen that is mixed with or absorbed into the polyethylene powder; (vi) following any one of steps (i), (ii), (iii), (iv) and (v) with fusing the polyethylene powder in a low oxygen environment.

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13. The method of claim 2, further comprising the step of: fusing a polyethylene powder to produce an oxidation-resistant polyethylene of about 4-inch diameter or smaller, and machining the implant from the oxidation-resistant polyethylene.

14. The method of claim 12, wherein the oxidation-resistant polyethylene is of about 4-inch diameter or smaller, and the oxidation-resistant implant is machined from the oxidation-resistant polyethylene.

15. A wear-resistant implant made from any one of the methods of claims 1 to 14.

5 16. A method to improve the wear resistance of an orthopaedic material comprising a polyethylene, the method comprising the steps of:

(a) providing an oxidation-resistant polyethylene;  
(b) irradiating the oxidation-resistant polyethylene at a dose of from about 5 Mrad to 100 Mrad, without melting or annealing the irradiated polyethylene, wherein said oxidation-resistant polyethylene is more resistant to oxidation than a reference preformed UHMWPE, and wherein said radiation is by gamma radiation or electron beam radiation.

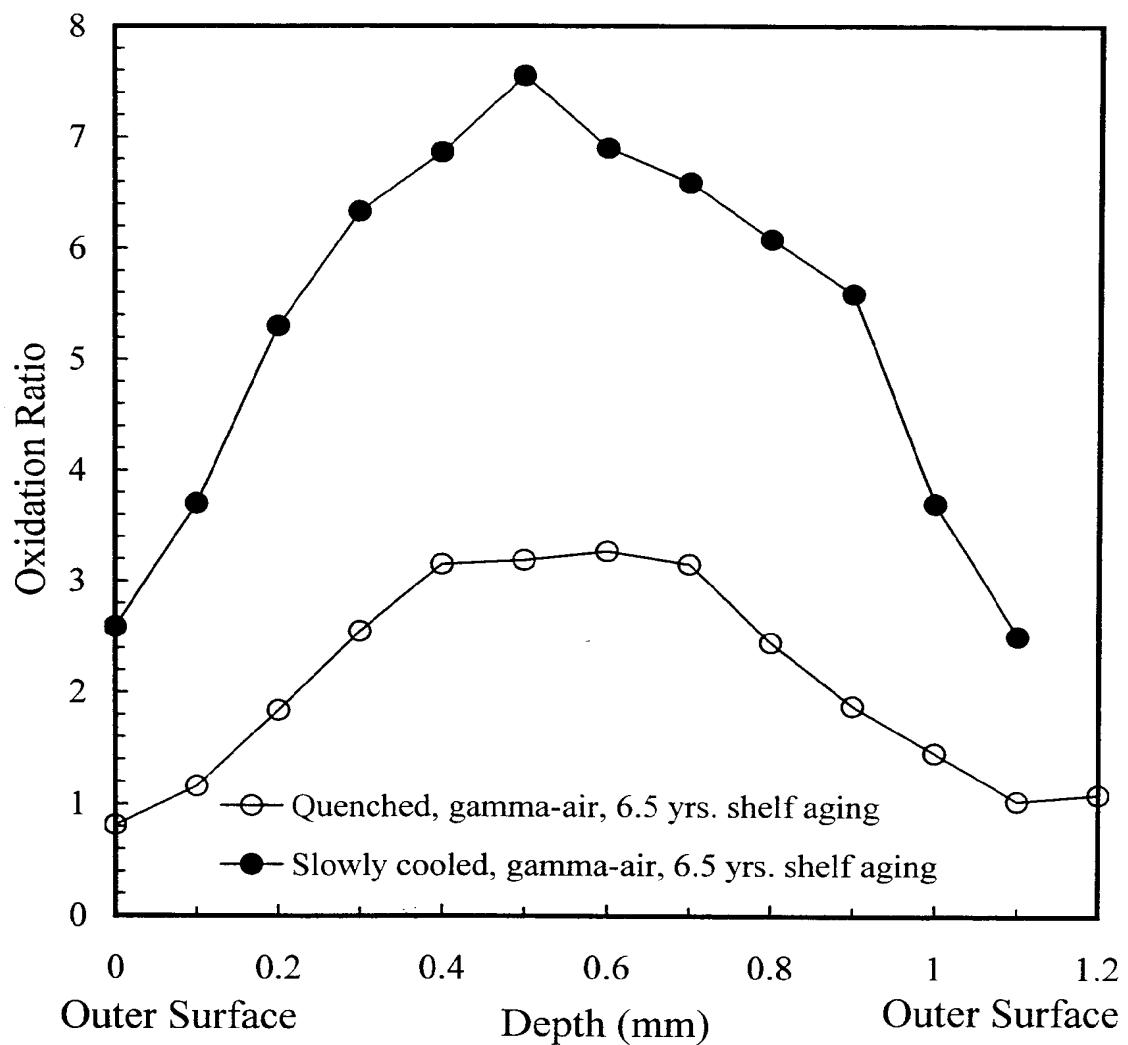
15 17. The method of claim 16, wherein the radiation dose is from about 5 to about 25 Mrad.

20 18. The method of claim 17, wherein the radiation dose is from about 5 to about 10 Mrad.

19. The method of claim 18, wherein the polyethylene is selected from the group consisting of: UHMWPE and HMWPE.

25 20. A wear-resistant orthopaedic material, comprising a polyethylene, made according to any one of the methods of claims 14 to 19.

Fig. 1



# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US01/13839

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A61F 2/02, 2/30; A61L 2/08, 27/00, 31/00; B01J 19/08; C08J 3/28; C08F 110/02.  
 US CL : 422/23, 24, 40; 522/161, 912; 523/113, 115; 526/352, 936; 623/11.11, 18.11, 20.14, 22.11, 22.15, 22.21.  
 According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 422/23, 24, 40; 522/161, 912; 523/113, 115; 526/352, 936; 623/11.11, 18.11, 20.14, 22.11, 22.15, 22.21.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
 Please See Continuation Sheet

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,753,182 A (HIGGINS) 19 May 1998, Abstract, column 3, lines 12-44, column 5, lines 17-59.	1-20
Y	US 5,414,049 A (SUN ET AL.) 09 May 1995, Abstract, column 6, lines 18-21, lines 42-51, column 7, lines 9-44.	1-20
Y	US 5,824,411 A (SHALABY ET AL) 20 October 1998, Abstract, column 2, lines 22-27 and lines 45-50, column 3, lines 1-18, and column 6, lines 1-23.	1-20
Y	WO 98/14223 A1 (E.I. DU PONT DE NEMOURS AND COMPANY) 09 April 1998, Abstract, page 8, line 23, to page 9, line 6.	1-20
Y	US 5,721,334 A (BURSTEIN ET AL.) 24 February 1998, Abstract, Example 1 and Experiment II.	1-20
Y	WO 98/01085 A1 (THE ORTHOPAEDIC HOSPITAL) 15 January 1998, page 29, lines 48-52, page 32, lines 30-52, Examples 2-7.	1-20

Further documents are listed in the continuation of Box C.

See patent family annex.

•	Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E"	earlier application or patent published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L"	document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&"	document member of the same patent family
"O"	document referring to an oral disclosure, use, exhibition or other means		
"P"	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search  
 13 July 2001 (13.07.2001)

Date of mailing of the international search report  
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**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US01/13839

**Continuation of B. FIELDS SEARCHED Item 3:**

US PATENTS, DERWENT, EPO, JPO: MEDICAL OR PROSTHETIC IMPLANT, WEAR AND OXIDATION RESISTANCE, IRRADIATION, GAMMA RADIATION, CROSSLINK, POLYETHYLENE, UHMWPE, HMWPE.